National Core Indicators® 2020-21 In-Person Survey (IPS) Report

Appendix B: State Sample Frames



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Sampling

Each state is instructed to attempt to complete a minimum of 400 surveys with a random sample of individuals over the age of 18 who are receiving at least one publicly funded service besides case management. A sample size of 400 guarantees valid comparisons to be made across states with a 95% confidence level and a margin of error of +/- 5%, no matter how large the service population size. A 95% confidence level and a margin of error of +/-5% is mandatory for each state's sample to be included in this report. Most states sample more than 400 individuals to account for refusals and surveys that may be deemed invalid.

Below are includes detailed sample information regarding populations states included and excluded from their sample.

Populations included in 2020-21 NCI-IDD In-Person Survey State Sample Frames

Alabama

Total sample frame: 5,390

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
ID Waiver	HCBS 1915(c)	4,830
Living at Home Waiver	HCBS 1915 (c)	560

Arkansas

Total sample frame: 4,295

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
CES Waiver	HCBS 1915 (c) Combo HCBS 1915 (b)	4,295

California

Total sample frame: 144,398

*Note: All Californians with an intellectual or developmental disability as defined by California law have both a civil right and an individual entitlement to receive services from the California Department of Developmental Services. These statutory requirements make California's service system unique and could impact comparisons between its survey results and the results of other states.

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Everyone with case management and at least 1 service with the regional center. We do not limit it to waiver recipients	CA DDS does not have this data	144,398

Colorado

Total sample frame: 11,475

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Persons with Developmental Disabilities	HCBS 1915 (c)	6,762
Supported Living Services waivers	HCBS 1915 (c)	4,713

Florida

Total Sample Frame: 32,656

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
iBudget Waiver and CDC+ Waiver	HCBS 1915 (c) and 1915(j)	32,656

Georgia

Total Sample Frame: 12,958

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
New Options Waiver (NOW)	HCBS 1915 (c)	4,388
Comprehensive Supports Waiver (COMP)	HCBS 1915 (c)	7,952
State Funded Services (SFS)	State Funds	904

Hawaii

Total Sample Frame: 2,564

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Medicaid I/DD Waiver Program	HCBS 1915(c)	2,564

Illinois

Total Sample Frame: 21,609

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Community Integration and Habilitation Waiver (CIH)	HCBS 1915 9 (c)	9,192
Family Supports Waiver (FSW)	HCBS 1915 9 (c)	11,131

Kansas

Total Sample Frame: 8,200

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
IDD/DD	HCBS 1915(c)	8,200

Kentucky

Total Sample Frame: 9,632

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
SCL	1915(c)	4715
Michelle P	1915(c)	4917

Michigan

Total Sample Frame: 32,073

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
HCBS 1915 (c), 1115/1915 (i)	HCBS 1915 (c), 1115/1915 (i)	32,073

Minnesota

Total Sample Frame: 24,970

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
DD waiver	HCBS 1915(c)	24,970

Missouri

Total Sample Frame: 14,832

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Entire DD population of adults 18 and older who receive at least one authorized service in addition to support coordination.	HCBS 1915(c) State Plan General Revenue	14,832

North Carolina

Total Sample Frame: 14,945

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Developmental Centers	ICF-IID	897
Innovations Waiver	HCBS 1915 (c)	11,742
Medicaid State Plan	State Plan	784
(b)(3) Services	1915 (b) waiver	5,130

Nebraska

Total Sample Frame: 4,638

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
4154 HCBS Comprehensive Waiver	1915(c)	3,731
0394 HCBS Adult Day Waiver	1915(c)	622
ICF/IDs	ICF/IDs	285

New Hampshire

Total Sample Frame: 3,897

Program(s) included in sample	Funding Source	
DD Waiver	1915(c)	
Acquired Brain Disorder waiver	1915(c)	

New Jersey

Total Sample Frame: 23,455

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Supports Program	HCBS 1115	11,380
Community Care Program	HCBS 1115	12,075

Nevada

Total Sample Frame: 2,247

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Nevada's Home and Community-Based Waiver for Individuals with Intellectual and Developmental Disabilities	HCBS 1915(c)	2,247

Ohio

Total Sample Frame: 42,029

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Home and Community Based Services Waiver and Intermediate Care Facility and county board funded supports	HCBS 1915(c), ICF funding and county board funded supports	42,029

Oregon

Total Sample Frame: 17,581

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
In HomeDirect Services Only	Waiver for case management and Community First Choice State Plan for in home services	7,641
In-HomeDay Supports Only	Waiver for case management and Community First Choice State Plan for in home services and Day supports	937
In HomeEmployment Services and Day Support	Waiver for case management and employment and Community First Choice State Plan for in home services and Day Supports	420
In Home Employment Services Only	Waiver for case management and employment and Community First Choice State Plan for in home services	1785
24 Hour Residential Services for Adults	Waiver for case management and Community First Choice State Plan	2902
Adult Foster Care	Waiver for case management and Community First Choice State Plan	3073
Supported Living Services for Adults	Waiver for case management and Community First Choice State Plan	746
Stabilization and Crisis Unit	Waiver for case management and Community First Choice State Plan	77

Pennsylvania

Total Sample Frame: 38,346

Note: PA sample may include people receiving only Support coordination

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Consolidated Waiver	HCBS 1915(c)	17,938
Person/Family-Directed Supports (P/FDS) Waiver	HCBS 1915(c)	11,699
Community Living Waiver	HCBS 1915(c)	3621
Base Funding	State Plan	2892
ICF/ID	ICF/ID	2196 (private and state-operated)

South Dakota

Total Sample Frame: 3,264

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
CHOICES Waiver	1915(c)	2507
Adult Family Support 360	1915(c)	553
Community Training Services (CTS)	State General Funds	204

Tennessee

Total Sample Frame: 3,264

Note: The Tennessee sample included in this report does not include those in the ECF Choices Waiver

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Main Waiver	HCBS 1915(c)	4496
CAC waiver	HCBS 1915(c)	1483
Self-Determination Waiver	HCBS 1915(c)	1090
Public ICFs	CMS	33

Virginia

Total Sample Frame: 13,548

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Building Independence Waiver	HCBS 1915(c)	325
Family & Individual Supports Waiver	HCBS 1915(c)	2282
Community Living Waiver	HCBS 1915(c)	10941

Wisconsin

Total Sample Frame: 31,584

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Family Care	HCBS 1915(b/c)	21,820
Partnership	1932(a) state plan program including 1915(c) waiver services	811
Include, Respect, I Self-Direct (IRIS)	HCBS 1915(c); enrollees may also self-direct personal care through a 1915(j) state plan amendment	8,953

Populations excluded from 2020-21 NCI-IDD In-Person Survey State Sample Frames

State	Name of program/waiver excluded from sample	Funding Source (i.e., ICF/ID)	Description of the population served by the excluded program
FL	State Plan	General Revenue	These are individuals who have applied for services but are on the waiting list
FL	Institutions including ICF's	ICF/I-DD	Anyone residing in Florida's state or privately operated institution and ICF's. Non waiver people.
GA	ICF/I-DD	ICF/I-DD	People receiving services in an ICF
н	Long-term Adult Support & Resources (LASR)	State	Individuals 18 years or older who are not eligible for Medicaid I/DD Waiver services but are eligible for DD services.
NV	Individuals residing in institutional settings	Medicaid	Individuals residing in ICF/IDD, skilled nursing or other institutional settings
NV	Individuals receiving TCM and Family Support Services only	State General Fund, Medicaid	Children and Adults with ID/DD
NC	State Funded IDD Services	Legislatively appropriated state funded dollars and SSBG funded services	Children and Adults with IDD
SC	ICF/IID	ICF/IID	Community-based ICF/IID Programs
VA	Community ICF/ID	ICF/ID	Intermediate Care Facilities for Individuals with Intellectual Disability (ICF/ID). Provides comprehensive and

			individualized health care and rehabilitation services to individuals to promote their functional status and independence.
VA	Nursing Facility with Dx	SNF	
VA	EDCD	1915c	VA Elderly or Disabled w/Consumer Direction (0321.R03.00) Provides adult day health care, personal assistance, respite care, consumer directed services facilitation, PERS, transition coordination (ages 0 – no max age)